

FEB 20 2002

Dkt. 48557-A/JPW/FHB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

Applicants : Catherine Dulac and Richard Axel

MAR 13 2002

U.S. Serial No.: 09/898,416

TECH CENTER 1600/2900

Filed : July 3, 2001

For : CLONING OF VERTEBRATE PHEROMONE RECEPTORS  
AND USES THEREOF

1185 Avenue of the Americas  
New York, New York 10036  
January 29, 2002

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

COPY OF PAPERS  
ORIGINALLY FILED

Sir:

COMMUNICATION TO CORRECT ERROR IN FILING RECEIPT

This Communication is filed to request the issuance of a corrected Filing Receipt in connection with the above-identified application. Upon receipt of the official Filing Receipt for the subject application, a copy of which is attached hereto as **Exhibit A**, applicants' undersigned attorney noticed an error. Specifically, the second-named inventor's address is incorrectly listed.

A corrected Filing Receipt should read as follows:

--Applicant(s)

Catherine Dulac, Cambridge, MA;  
Richard Axel, New York, NY;--

Applicants : Catherine Dulac and Richard Axel  
U.S. Serial No.: 09/898,416  
Filed : July 3, 2001  
Page 2

Applicants contend that the correct data may be found in the Declaration and Power of Attorney filed on October 30, 2001 in connection with the subject application. The Declaration and Power of Attorney is attached hereto as **Exhibit B**. Accordingly, applicants request that a corrected Filing Receipt be issued.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231.

 1129/02  
John P. White  
Reg. No. 28,678

Date

  
John P. White  
Registration No. 28,678  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2  
3/20/2002  
3/20/2002  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/898,416	07/03/2001	1645	420	0575/48557-1 AJPW/ADM	17	10	3

Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, NY 10036

*received*  
[REDACTED]

RECEIVED

JAN 22 2002  
MAR 13 2002

TECH CENTER 1600/2900

CONFIRMATION NO. 1905  
UPDATED FILING RECEIPT

OC000000007310096\*

Date Mailed: 01/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Catherine Dulac, Cambridge, MA; ✓  
Richard Axel, New York, NY;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/005,698 10/19/1995

**Foreign Applications**

If Required, Foreign Filing License Granted 09/28/2001

Projected Publication Date: 04/25/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

Cloning of vertebrate pheromone receptors and uses thereof ✓

**Preliminary Class**

435

---

LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).